



Comprehensive Wealth Management

~ Profile Questionnaire ~

Welcome to the Comprehensive Wealth Management Program (CWM) provided by Oliver Capital Management. This Profile Questionnaire is the first step in developing a long-range plan to help you achieve your financial goals and objectives.

The Questionnaire will ask for information pertaining to the following topics enabling us to better assess your situation and provide valuable ongoing advice and recommendations:

- **Personal Balance Sheet (All Assets / Liabilities)**
- **Retirement Lifestyle / Goals**
- **Liability and Debt Management**
- **Education College Funding / Goals**
- **Estate Planning**
- **Insurance (Life, Disability, Long Term Care)**
- **Income and Expenses (Cash Flow Analysis)**
- **Tax Mitigation Strategies**
- **Risk Tolerance Assessment**
- **Employment**

You may complete the profile questionnaire online at <https://www.olivercapital.com/cwm/>, and additional instructions are provided on the following pages. If you prefer to print out a hard copy, you can do so by visiting <http://www.olivercapital.com> and clicking on the Comprehensive Wealth Management Questionnaire icon near the bottom of the page.

If you are unsure of a certain dollar amount, please simply respond with an approximated value. I will use assumptions for filling in certain blanks where indicated, otherwise I will assume unanswered questions do not apply to you. Because no one else is just like you, the information you provide, even estimated data, is very important in that it will provide me with the ability to provide you customized solutions to meet your specific needs.

If you need assistance in completing the Profile Questionnaire, do not hesitate to contact me at 206-652-3500 or by email at mko@olivercapital.com.

Congratulations on your decision to employ the **Comprehensive Wealth Management** platform to assist you in developing a formal, disciplined, customized financial plan. I look forward to presenting you with your custom Comprehensive Wealth Analysis (CWMQ) including my findings and recommendations for you.

Very truly yours,

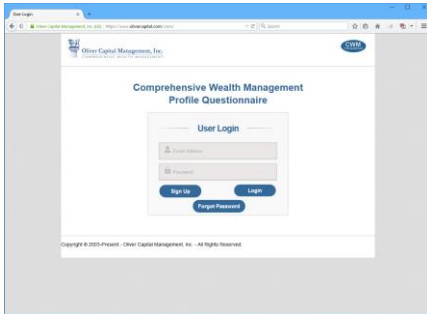
Mark K. Oliver
President & Founder
Wealth Management and Senior Investment Advisor

Online Comprehensive Wealth Management Questionnaire (CWMQ)

Instructions for Data Entry and Submission:

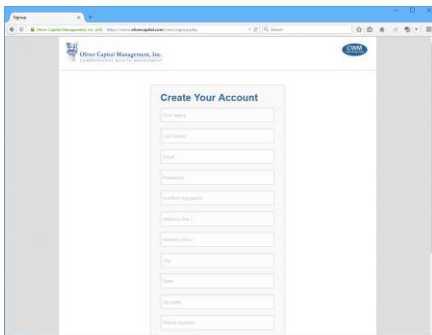
Step One:

1. Go to <https://www.olivercapital.com/cwm>
2. Click on the “Sign Up” button as identified below.



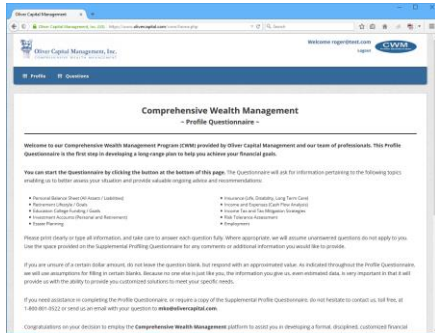
Step Two

1. Create your account by entering your name, address, email, and phone number. You will also choose a password that will allow you to log back in at a future point in time if you are unable to complete the questionnaire at one time.



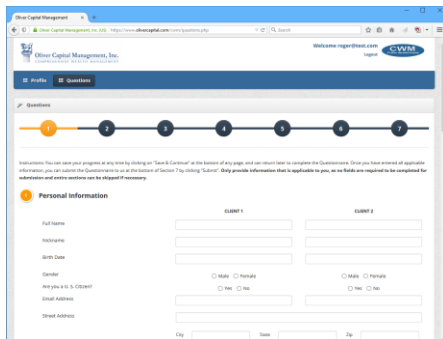
Step Three:

1. Read Introductory Letter, then click on bottom at bottom of page



Step Four:

1. Begin Questionnaire - You can save your progress at any time by clicking on "Save & Continue" at the bottom of any page, and can return later to complete the Questionnaire. Once you have entered all applicable information, you can submit the Questionnaire to us at the bottom of Section 7 by clicking "Submit". **Only provide information that is applicable to you, as no fields are required to be completed for submission and entire sections can be skipped if necessary.**



If you have any questions, please contact Oliver Capital Management's technical support department at **206-652-3554** or via email at **tech@olivercapital.com**.